

# Export Certification Form

## Foreign National Employees, Researchers, Students and Visitors

Prompted by the USCIS' introduction of a revised I-129 application to include the requirement for export certification, this form is required for each foreign national employee. Additionally, UAF has a responsibility to determine whether an export license is required for any foreign national working or performing research at the university. If ORI needs additional information, we will work with the Direct Supervisor identified below. Once the assessment is complete, ORI will sign the form and return it to the Office of International Programs and Initiatives or the Sponsoring Department for inclusion in the visa application packet. Completing this form does not guarantee the visa application will be approved, nor does it guarantee the applicant will not face delays in the visa application process.

**You must submit a copy of the Curriculum Vitae with this form.**

<b>This section to be completed <u>by the visa beneficiary</u></b>		
Visa beneficiary's first, middle, last name:		
Birth Date (mm/dd/yyyy):		
Country of birth:		
Country(ies) of citizenship (list all):		
Country(ies) of Legal Permanent Residence (list all):		
Active or Reserve Military member? If yes, country:		
Foreign Permanent Address:		
Email Address:		
Home Institution:		
Financial Sponsor:		
<b>This section to be completed and signed <u>by the visa beneficiary's Direct Supervisor or Advisor</u>; the person most knowledgeable about the beneficiary's intended work. Contact ORI for clarification on questions below.</b>		
UAF Direct Supervisor Name:		
UAF Department:		
UAF Direct Supervisor Phone Number:		
UAF Funding supporting this work- S# or G#: or Fund-Org <i>(include a description of account)</i> :		
In performing the work under the visa, will the beneficiary be provided access to:	Yes	No
Technology or Technical data which is "export controlled"? <b>(See page 3 for definitions)</b>		
Sponsor or third-party proprietary or confidential information, materials, or software?		
Encryption source code?		



## Glossary of Terms

**Deemed Export-** Any release of technology or source code subject to the EAR to a foreign national. Such release is deemed to be an export to the home country(ies) of the foreign national. This deemed export rule does not apply to lawful permanent residents of the United States and does not apply to persons who are protected individuals under the Immigration and Naturalization Act (8 U.S.C. 1324b (a)(3)). Any release of any item to any party with knowledge a violation is about to occur is prohibited by Section 736.2(b)(10) of EAR.

**Development:** "Development" is related to all stages prior to serial production, such as: design, design research, design analyses, design concepts, assembly and testing of prototypes, pilot production schemes, design data, process of transforming design data into a product, configuration design, integration design, layouts.

**Export controls:** The federal government's regulations and licensing requirements to manage exports of commodities and information to non-U.S. countries; to manage the sharing of commodities and information with foreign nationals who are residing in the U.S.; and to regulate travel to or activities involving sanctioned or embargoed countries.

**Production:** Means all production stages, such as: product engineering, manufacture, integration, assembly (mounting), inspection, testing, and quality assurance.

**Technical Data:** Information other than software, which is required for the design, development, production, manufacture, assembly, operation, repair, testing, maintenance or modification of defense articles. This includes information in the form of blueprints, drawings, photographs, plans, documentation, instructions, models, formulae, tables, engineering designs and specifications, manuals and instructions written or recorded on other media or devices such as disk, tape, or read-only memories.

**Technology:** Specific information necessary for the "development", "production", or "use" of a commodity subject to the Export Administration Regulations. The information takes the form of "technical data" or "technical assistance".

**Use:** Operation, installation (including on-site installation), maintenance (checking), repair, overhaul **AND** refurbishing.

### Categories subject to export control regulations:

EAR Controlled Items:	ITAR Controlled Items/Defense Articles:
Category 0: Nuclear Materials, Facilities and Equipment	Category I: Firearms
Category 1: Materials, Chemicals, Microorganisms, & Toxins	Category II: Guns and Armament
Category 2: Materials Processing	Category III: Ammunition/Ordnance
Category 3: Electronics	Category IV: Launch Vehicles, Guided Missiles, Ballistic Missiles, Rockets, Torpedoes, Bombs & Mines
Category 4: Computers	Category V: Explosives & Energetic Materials, Propellants, Incendiary Agents & Their Constituents
Category 5 Part 1: Telecommunications	Category VI: Vessels of War and Special Naval Equipment
Category 5 Part 2: Information Security	Category VII: Tanks and Military Vehicles
Category 6: Sensors and Lasers	Category VIII: Aircraft and Associated Equipment
Category 7: Navigation and Avionics	Category IX: Military Training Equipment and Training
Category 8: Marine	Category X: Protective Personnel Equipment and Shelters
Category 9: Aerospace and Propulsion	Category XI: Military Electronics
Website: <a href="http://www.gpo.gov/bis/ear/ear_data.html">http://www.gpo.gov/bis/ear/ear_data.html</a>	Category XII: Fire Control, Range Finder, Optical & Guidance & Control Equipment
	Category XIII: Auxiliary Military Equipment
	Category XIV: Toxicological Agents, Including Chemical Agents, Biological Agents, & Associated Equipment
	Category XV: Spacecraft Systems and Associated Equipment
	Category XVI: Nuclear Weapons, Design and Testing Related Items
	Category XVII: Classified Articles, Technical Data & Defense Services Not Otherwise Enumerated
	Category XVIII: Directed Energy Weapons
	Category XX: Submersible Vessels, Oceanographic & Associated Equip.
	Website: <a href="http://pmddtc.state.gov/regulations_laws/itar_official.html">http://pmddtc.state.gov/regulations_laws/itar_official.html</a>