



*Tuesday Tips* is a new outreach effort by OGCA. The idea behind *Tuesday Tips* is to convey tips, tricks and other helpful information around the area of research administration. Our goal is to post on (almost every) Tuesdays. If there is something you would like to see covered on *Tuesday Tips*, email: [UAF-GCReATE@alaska.edu](mailto:UAF-GCReATE@alaska.edu). For more Tips visit [OGCA website](#).

## What is Debarment and Suspension?

Executive Order (E.O.) 12549 provides that, to the extent permitted by law, Executive Branch departments and agencies must participate in a government-wide system for non-procurement debarment and suspension. A researcher who is debarred or suspended must be excluded from federal financial and non-financial assistance and benefits under Federal programs and activities.

The Federal government-wide debarment and suspension system requires grant applicants to certify that, to the best of their knowledge and belief, they and their principals, including PIs and other key personnel:

- Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
- Have not, within the 3-year period preceding the application submission, been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a

public (Federal, State, or local) transaction or contract under a public transaction, for violation of a Federal or State antitrust statute; for commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, or for making false statements or receiving stolen property;

- Are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated above; and
- Have not, within a 3-year period preceding the application submission, had any public transaction (Federal, State, or local) terminated for cause or default.

If the applicant is unable to certify to these statements, it must, nonetheless, submit the certification and attach an explanation. The inability to certify does not automatically disqualify an organization from receiving an award; however, failure to submit the required certification or the necessary explanation will cause the agency not to make an award. Subcontractors under grants (where the contract requires the provision of goods or services that will equal or exceed \$100,000) and all consortium participants must certify that neither they nor their principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal agency, and, if unable to certify, the grantee must attach an explanation to the application or provide the information to the Federal funding agency prior to awarding the contract or entering into the agreement.

Additional information can be found at: <https://www.archives.gov/federal-register/codification/executive-order/12549.html>

OGCA Website: <https://www.uaf.edu/ogca/index.php>