

The UAF Faculty Senate passed the following at Meeting #215, May 2, 2016:


MOTION:

The Faculty Senate moves to adopt the following changes to the UAF Grade Appeals Policy.

Effective: Immediately

Rationale: The Grade Appeals Policy was last revised in 2013. The current revisions bring the policy in line with Board of Regents' Policy (Chapter 09.03 - Student Dispute Resolution). It clarifies the informal and formal procedures, and addresses the inclusion of any represented faculty member instead of only tenure-track faculty members on the Grade Appeals committee. It establishes an ad hoc faculty senate Student Appeals Committee.

Debasmita Misra
President, UAF Faculty Senate

APPROVAL:  DATE: 5/4/16
Chancellor's Office

DISAPPROVED: _____ DATE: _____
Chancellor's Office

Additions in bold italics and deletions indicated with strike through.

Grade Appeals Policy:

I. Introduction

The University of Alaska is committed to the ideal of academic freedom and so recognizes that the assignment of grades is a faculty responsibility. Therefore, the University administration shall not influence or affect an assigned grade or the review of an assigned grade.

The following procedures are designed to provide a means for students to seek review of final course grades alleged to be arbitrary and capricious. Before taking formal action, a student must attempt to resolve the issue informally with the instructor of the course. A student who files a written request for review under the following procedures shall be expected to abide by the final disposition of the review, as provided below, and may not seek further review of the matter under any other procedure within the university.

II. Definitions

- A. A "grade" refers to final letter grades A, B, C, D, F, and Pass *or Fail*. The I (incomplete) *and DF (deferred)* designates a temporary grade, ~~for one year~~ not a final grade, so it is *these are* not subject to appeal until it *they* becomes final.
- B. For the purpose of this procedure, "arbitrary and capricious" grading means:
1. the assignment of a course grade to a student on some basis other than performance in the course, or
 2. the assignment of a course grade to a student by resorting to standards different from those which were applied to other students in that course, or
 3. the assignment of a course grade by a substantial, unreasonable and unannounced departure from the instructor's previously articulated standards.
- C. "Grading errors" denotes errors in the ~~calculation~~ *assignment* of grades rather than errors in judgment.
- D. ~~As used in the schedule for review of academic decisions,~~ a "class day" is any day of scheduled instruction, excluding Saturday and Sunday, included on the academic calendar in effect at the time of a review, *as defined in university regulations (R09.03.024)*. Final examination periods are counted as class days.
- E. "Department chair" for the purposes of this policy denotes the ~~administrative chair~~ *faculty member responsible for* of the academic unit offering the course. (~~e.g., head, chair or coordinator of an academic department, or the campus director if the faculty member is in the College of Rural Alaska~~).
- F. The "dean/director" is the administrative ~~chair~~ *head* of the college or school offering the course or program from which the academic decision or action arises. For students at extended campuses the director of the campus may substitute for the dean/director of the unit offering the course or program.

G. ~~The "final grade" for the purposes of this policy is the grade assigned for a course upon its completion.~~

H. ~~A "grading error" is a mathematical miscalculation of a final grade or an inaccurate recording of the final grade.~~

~~H I.~~ The "next regular semester" is the fall or spring semester following that in which the disputed academic decision was made. For example, it would be the fall semester for a final grade issued for a course completed during the previous spring semester or summer session. The spring semester is the next regular semester for an academic decision made during the previous fall semester.

III. Procedures

A. *Informal Procedures*

Errors by an instructor in determining and recording a grade or by the university staff in transcribing the grade are sources of error that can be readily corrected through the student's prompt attention following the normal change of grade procedure.

1. Review the UAF Appeal of Grade form.

~~21.~~ It is a student's obligation to notify the instructor ~~of any possible error~~ *in writing with an explanation of the perceived grading error within 15 class days of the next regular semester (i.e., fall semester for grade issued at the end of the previous spring semester or summer session; spring semester for grade issued at the end of the previous fall semester).* ~~immediately by the most direct means available. If this is through an oral conversation and/or the issue is not immediately resolved, it is the student's responsibility to provide the instructor with a signed, written request for review of the grade, with a copy to the unit department chair and the dean of the college or school in which the course was offered. A copy of the request should also be provided to the department chair.~~

~~2.~~ Notification ~~must be received by the instructor and/or department chair within 30 class days after the beginning of the next regular semester (i.e., fall semester for grade issued at the end of the previous spring semester or summer session; spring semester for grade issued at the end of the previous fall semester).~~ [FS Meeting #157, March 2009.]

3. The instructor is responsible for notifying the student in writing of his or her final judgment concerning the grade in question within 5 *class* days of receipt of the request, and for promptly submitting the appropriate change of grade form to the Registrar's Office if an error occurred. *If the decision was not to reconsider the grade, the instructor should notify the student, the department chair and the dean/director in writing by completing the informal portion on the Appeal of Grade form.*

4. If the student does not receive a response from the instructor or the unit department chair by the required deadline, the student must seek the assistance of the dean of the college or school in which the course was offered: *to begin the formal appeal process.*

5. If the instructor is no longer an employee of the university or is otherwise unavailable, the student must bring the matter to the attention of the unit department chair who will make every effort to contact the instructor by the 15th class day of the next regular semester.
 - a. If the instructor cannot be contacted but course records are available, the department chair will effect resolution within 5 class days of notification by the student. The department chair may correct a grading error through the regular change of grade process on behalf of the instructor.
 - b. If the instructor cannot be contacted and course records are either unavailable or indecisive, the student may request a *formal* review ~~following the procedure outlined below.~~ *as described in section B. below.*
 - ~~c. If the instructor can be contacted and elects to participate, then a constructive participation is to be welcomed by the review committee. The procedures of Paragraph III.A.5.a. or Paragraph III.A.5.b. will be instituted if the instructor withdraws from participation.~~
6. There may be extenuating circumstances when the deadlines cannot be met due to illness, ~~mail disruption,~~ or other situations over which the student may have no control. In such a case, upon request from the student, the *dean/director* ~~dean of students,~~ after review of supporting documentation provided by the student, may recommend to the grade appeals committee that the deadlines be adjusted accordingly. *At the discretion of the dean/director,* ~~A~~ an extension of the deadline will be limited to one semester but every effort should be made to complete the appeal process within the current semester.

B. *Formal Procedures*

If ~~no such error occurred~~ *not resolved in the informal process*, the remaining option is by review for alleged arbitrary and capricious grading, or for instances where the course instructor is unavailable and ~~satisfaction~~ *resolution* is not forthcoming from the appropriate department chair.

1. This review is initiated by the student through a signed, written request to the department chair with a copy to the dean of the college or school in which the course was offered.
 - a. The student's request for review may be submitted using university forms specifically designed for this purpose and available at the Registrar's Office.
 - b. ~~By submitting a request for a review, the student acknowledges that no additional mechanisms exist within the university for the review of the grade, and that the university's administration cannot influence or affect the outcome of the review.~~ *The student completes the grade appeal checklist and submits the Grade Appeal form, acknowledging the completion of the informal process.*
 - c. The request for a review must be received *by the dean/director of the college or school in which the course was offered, ON OR BEFORE THE 30TH DAY OF INSTRUCTION OF the next regular semester (i.e., fall semester for grade issued at the end of the previous spring semester or summer session; spring semester for grade issued at the end of the previous fall semester or Wintermester)* ~~or within 5 class days of receipt of notification~~ *completion* of the

informal grade appeal process, by the dean/director of the college or school in which the course was offered.

- d. The request must detail the basis for the allegation that a grade was improper, and the result of arbitrary and capricious grading, and must present the relevant evidence.
2. It is the responsibility of the department chair to **The dean** formally ~~notify~~**notifies** both the instructor who issued the grade and the dean **department chair** of the unit 's college or school that a **formal grade appeal has been filed**. ~~request for a review of grade has been received.~~
3. If the instructor of the course is also the department chair, the Dean of the College will designate another department chair within the college to act as the department's representative for all proceedings. If the instructor of the course is also the Dean of the College, the Provost will designate another Dean within the University to act as the college's monitor of all proceedings.
4. A ~~5-member~~ review committee will be appointed as follows:
 - a. The dean shall appoint one non-voting ~~tenure-track~~ faculty member **holding academic rank, who is represented through the current applicable collective bargaining agreements**, from the academic unit in which the course was offered (other than the instructor of the course). This individual shall serve in an advisory role.
 - b. ~~Two~~ **Three** ~~tenure-track~~ faculty members **holding academic rank, who are represented through the current applicable collective bargaining agreements**, from **the faculty senate ad hoc Student Appeals Committee (SAC) shall be appointed by the SAC committee chair. One voting member shall serve as chair of the student appeal committee.** ~~within the college or school but outside of the unit in which the course was offered shall be appointed. One of these members shall be appointed by the dean. The other shall be appointed by the Faculty Senate President. This person shall be a member of the Faculty Senate (including alternate members), if available.~~
 - c. One ~~[[tenure track]]~~ faculty member **holding academic rank, who is represented through the current applicable collective bargaining agreements**, from outside the college or school in which the course was offered. This person shall be a member of the Faculty Senate (including alternate members). ~~The Senate member shall be appointed by the Faculty Senate President.~~
 - d. ~~c.~~ The fifth member to be appointed by **the Associated Students of the University of Alaska Fairbanks (ASUAF)** ~~the dean~~ will be a non-voting student representative.
 - e. ~~d.~~ The campus judicial officer or his/her designee **A facilitator appointed by administration** shall serve as a nonvoting ~~member~~ **facilitator** for **formal** grade appeals hearings. This individual shall serve in an advisory role to help preserve consistent hearing protocol and records.
 - e. **In the case of a grade appeal from a graduate student, a representative of the graduate school may serve on the committee in a nonvoting capacity.**

5. The committee must schedule, within 10 *class* days of ~~instruction~~ from receipt of the student's request, a mutually agreeable date, time and location for the appeal hearing. If the request for appeal is received any time other than during a regular semester, then the hearing must be scheduled on or before the 10th *class* day of ~~instruction~~ of the next regular semester.
 - a. During this and subsequent meetings, all parties involved shall protect the confidentiality of the matter according to the provisions of the Family Educational Rights and Privacy Act (FERPA) and any other applicable federal, state or university policies.
 - b. Throughout the proceedings, the committee will encourage a mutually agreeable resolution.
 - c. The mandatory first item of business at this meeting is for the committee to rule on the validity of the student's request. Grounds for dismissal of the request for review are:
 - 1) ~~This is not the first properly prepared request for appeal of the particular grade. A~~ ***properly prepared formal appeal of the particular grade has already been denied.***
 - 2) The ***alleged*** actions of the instructor do not constitute arbitrary and capricious grading, as defined herein.
 - 3) The request was not made within the policy deadlines.
 - 4) The student has not ***completed the informal procedures*** ~~taken prior action~~ to resolve the grade conflict with the instructor. ~~as described under section III, A.~~
 - d. In the event that the committee votes to dismiss the request, a written notice of dismissal must be forwarded to the student, instructor, department chair and dean within five *class* days of the decision, and will state clearly the reasoning for the dismissal of the request.
6. Acceptance for consideration of the student's request will result in the following:
 - a. A request for and receipt of a formal response from the instructor to the student's allegation.
 - b. A second meeting scheduled to meet within 10 *class* days of the decision to review the request.
 - 1) The student and instructor will be invited to attend the meeting.
 - 2) The meeting will be closed to outside participation, ***and both the student*** and ~~neither the student nor~~ ***the*** instructor may be accompanied by an advocate or representative. Other matters of format will be announced in advance.
 - 3) The proceedings will be ~~tape~~ recorded and the ***recordings*** ~~tapes~~ will be stored with the campus ***conduct office***. ~~Judicial Officer.~~
 - 4) The meeting must be informal, non- confrontational and fact-finding, where both the student and instructor may provide additional relevant and useful information and can provide clarification of facts for materials previously submitted.

7. The final decision of the committee will be made in private by a majority vote.

a. Actions which the committee can take if it accepts the student's allegation of arbitrary and capricious grading must be directed towards a fair and just resolution, and may include, but are not limited to, the following:

- 1) direct the instructor to grade again the student's work under the supervision of the department chair,
- 2) direct the instructor to administer a new final examination and/or paper in the course,
- 3) direct a change of the student's registration status (i.e., withdrawn, audit, dropped) in the course.

b. The academic decision review committee proceedings will result in the preparation of written findings and conclusions. Conclusions will result in one of the following:

- 1) the request for a grade change is denied.
- 2) the request for a grade change is upheld; the review committee requests the course instructor to change the grade; and the course instructor changes the grade in accordance with MAU *the University of Alaska Fairbanks* rules and procedures.
- 3) the request for a grade change is upheld; the course instructor is either unavailable to change the grade or refuses to, and the review committee directs the dean/director to initiate the process specified by MAU *the University of Alaska Fairbanks* rules and procedures to change the grade to that specified by the review committee.

c. A formal, written report of the decision must be forwarded to the student, instructor, department chair, dean and ~~Director of Admissions and Records~~ *registrar* within five *class* days of the meeting.

d. The decision of the committee is final.

Record of Changes to the Grade Appeals Policy:

The following is a complete copy of the Grade Appeals Policy as passed by the UAF Faculty Senate at its Meeting # 56 (March 20, 1995) and amended at its Meeting #61 (February 5, 1996), Meeting #80 (May 4, 1998), Meeting #89 (September 27, 1999), Meeting #109 (May 6, 2002), Meeting #157 (March 2, 2009), Meeting #183 (May 7, 2012), Meeting #189 (March 4, 2013), and Meeting #215 (May 2, 2016).

- Alignment with UA policy & regulation Chapter 09.03 - Student Dispute Resolution. (Meeting #215, May 2, 2016).
- Clarification made to the time period within which grade appeals will be reviewed (Meeting #189, March 4, 2013).
- Policy at Section III, Procedures, subsection B, Item 4, was revised at Meeting #183 (May 7, 2012).
- Deadlines were revised at Meeting #157 (March 2, 2009).