

Gathering faculty teaching evaluations by in-class and online surveys: their effects on response rates and evaluations

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This study compares student evaluations of faculty teaching that were completed in-class with those collected online. The two methods of evaluation were compared on response rates and on evaluation scores. In addition, this study investigates whether treatments or incentives can affect the response to online evaluations. It was found that the response rate to the online survey was generally lower than that to the in-class survey. When a grade incentive was used to encourage response to the online survey, a response rate was achieved that was comparable with that to the in-class survey. Additionally, the study found that online evaluations do not produce significantly different mean evaluation scores than traditional in-class evaluations, even when different incentives are offered to students who are asked to complete online evaluations.

Introduction

In the spring semester 2000, the authors conducted a study to compare student evaluations gathered via the traditional method, i.e. in-class, with those collected online. Our purpose was three-fold: (i) to determine if the method of evaluation affects the response rate; (ii) to determine if the method of evaluation affects an instructor's teaching evaluation scores; (iii) to determine if online treatments affect evaluation response rates and teaching evaluation scores.

Problems with the traditional method of faculty evaluation

Virtually every university in the USA regularly conducts student evaluations of faculty teaching performance, the majority of which are conducted in a classroom setting with paper surveys. The results of these evaluations are often used to make promotion, tenure and merit pay decisions and, consequently, generate controversy among faculty. While most previous research has focused on the psychometric

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properties of the reliability and validity of the questions or appropriate usage of the results (Centra, 1993), some studies have concluded that there are major concerns over how the evaluation data is collected (Franklin & Theall, 1989). Complaints include instructors manipulating ratings through their comments or actions when distributing questionnaires (Simpson and Siguaw, 2000), opportunities for instructors to alter results prior to turning them in (Ory, 1990) and a lack of written comments as students fill out questionnaires at the end of class (Layne *et al.*, 1999).

The online method of faculty evaluation

Within the past few years, several papers have reported the development and use of online faculty evaluations for students receiving their instruction over the Internet (Henderson, 2001; Reid, 2001) or in a classroom setting (Ha & Marsh, 1998; Ha *et al.*, 1998; Dommeyer *et al.*, 2002b; Moss & Hendry, 2002; Ku, 2002/2003). In a typical online evaluation, students are provided with a web site address where they can gain access to the survey instrument. Prior to giving their responses, students are informed that professors will not have access to any student's individual responses and that professors will receive the results of the survey in summary form only after the final grades have been posted. After students log on to the online system, typically using a student ID number, they are able to indicate their responses to multiple response items and to type their answers to open-ended questions. After students submit their responses, they can receive a printed document that verifies that they have completed the evaluation. Students are generally given at least 2 weeks in which to provide their evaluations, usually near the end of term.

The online method of gathering faculty evaluations has numerous advantages over the traditional, in-class method. Once an online evaluation system is established, many of the costs of the traditional method can be avoided, i.e. the costs of printing, distributing, collecting, scanning and storing the paper surveys, the costs of typing students' responses to open-ended questions and the costs of delivering hard copy summary reports to faculty. Kronholm *et al.* (1999) compared the costs of gathering faculty evaluations online with those of gathering the evaluations in-class for a 22-item survey of 327 'distance learning' students across 18 locations. They concluded that the in-class version of the survey would cost \$568.60 while the online version would cost only \$18.75. Of course, larger scale surveys should generate even more cost savings, since the variable costs associated with an online survey are minimal or nonexistent.

The online evaluations are less susceptible to faculty influence than the in-class evaluations. In the typical in-class evaluation, it is possible that the faculty member might perform on the day of the evaluations some activity that is designed to elicit a favorable response from students, e.g. have a pizza party, play an entertaining 'educational' game, announce that the workload requirements have been reduced or announce that there is now a way that students may earn extra credit (Simpson & Siguaw, 2000). Just the presence of the faculty member before or during an in-class evaluation could affect a student's response, especially if the student fears that the faculty member may have some way of identifying the student's response. The

online method of evaluation is less susceptible to these influences since the student responds to the online survey from a personal computer of his choosing outside the classroom during a time period that is somewhat distant from the classroom experience. Moreover, since the faculty member would not have any contact with the evaluation forms of an online survey, there would be no opportunity for a faculty member to alter the data after it had been collected.

With paper-and-pencil evaluations conducted in the classroom, students have only one opportunity to provide their opinion of their professor, i.e. during the class period the surveys are distributed. However, with the online method of evaluation, students have multiple days on which to provide their evaluation. Moreover, during the period of the online evaluation, the online system can be programmed to send reminder notices to those students who have not yet responded to the survey (Ha & Marsh, 1998; Ha *et al.*, 1998; Crawford *et al.*, 2001; Ku, 2002/2003). Furthermore, since students are not constrained by time during an online response session, they can provide as complete a response to the questions as they wish. Researchers who have compared 'online' and 'in-class' responses with open-ended questions reveal that students provide more information to open-ended questions in the online format (Ha & Marsh, 1998; Layne *et al.*, 1999; Hmieleski & Champagne, 2000).

Another advantage of the online method is that it can permit professors greater flexibility in the design of the survey instrument. Some online systems allow professors to generate questions specifically designed for their courses and to have complicated skipping and branching patterns (Ha & Marsh, 1998; Ha *et al.*, 1998; Reid, 2001; Ku, 2002/2003).

Polls of students who have used both the online and traditional methods of evaluation reveal that most students preferred the online method of evaluation and had little difficulty accessing and using the online system (Ha & Marsh, 1998; Ha *et al.*, 1998; Layne *et al.*, 1999). Those students having complaints about the online evaluations were most likely to think that the evaluations were too time consuming or to fear that their responses may not have been anonymous (Layne *et al.*, 1999; Dommeyer *et al.*, 2002b). Some students no doubt feel that the integrity of the online system could be compromised, causing their log on ID number to be revealed with their responses. The lack of an anonymous response is also a concern of students using the traditional method of evaluation, as they sometimes fear that a professor will be able to identify their handwriting in answers to open-ended questions (Layne *et al.*, 1999).

Faculty have been reluctant to adopt the online method of evaluation. In polls where faculty have been asked to select which method, online or traditional, they would prefer their students use for faculty evaluations, less than one-third of faculty chose the online method (Ha & Marsh, 1998; Dommeyer *et al.*, 2002a). Faculty fear that the online method will produce a lower response rate and a less accurate response than the traditional method (Dommeyer *et al.*, 2002a). Moreover, they fear not only that the online method may attract responses from students who rarely attend class but also that some students will be influenced by their peers during online evaluation (Ha & Marsh, 1998).

Only a few studies have investigated whether online evaluation produces biased results. Both Ha *et al.* (1998) and Layne *et al.* (1999) used experimental designs that randomly manipulated the method used by students to complete the same faculty survey. Both studies concluded that the method of evaluation (online versus traditional) had no significant effect on the faculty ratings. In another study, Dommeyer (2002b) compared respondents and non-respondents to an online faculty evaluation and found no evidence of a non-response bias on the following variables: gender, expected grade in the class and rating of the professor's teaching performance.

Currently, the principal problem with online evaluations is a potentially low response rate. Response rates to online faculty evaluations have ranged anywhere from 23 (Ha *et al.*, 1998) to 92% (Ku, 2002/2003), with the higher response rates associated with surveys that used one or more reminder messages (Ha & Marsh, 1998; Ha *et al.*, 1998, Ku, 2002/2003). When Layne *et al.* (1999) investigated how the method of evaluation (in-class versus online) affected the response rate to a faculty evaluation, they found that the in-class survey produced a higher response rate than the online method (60.6 versus 47.8%).

To prevent low response rates to online evaluations, faculty may need to utilize techniques that will motivate students to participate in an online evaluation. Besides comparing the results of online and in-class faculty evaluations, this paper reports on the effectiveness of three techniques designed to increase the response rate to an online evaluation.

Experimental design

The study was conducted using undergraduate business majors at California State University, Northridge. A total of 16 instructors participated in the study. Although the sample of instructors represents a convenience sample, the courses represent a cross-section of lower and upper division core courses that are required for business majors.

Table 1 summarizes the distribution of instructors across the seven departments. Each instructor taught two sections of the same course. One section was evaluated online and the other section was evaluated in-class using the traditional Scantron form.

Each instructor was evaluated online and in-class with the form approved by the instructor's department. Although the questions on the evaluation forms varied by department, all instructors in a given department, regardless of the course taught, used the same form for both the online and in-class evaluations.

The treatments and the randomized incomplete block design

Each of the instructors in this study was assigned to have one of his/her sections evaluated in-class and the other evaluated online. In the online evaluation, each instructor was assigned either to a control group or to one of the following online treatments:

1. a very modest grade incentive (one-quarter of a percent) for completing the online evaluations;
2. an in-class demonstration of how to log on to the web site and complete the form;
3. an early grade feedback incentive in which students were told they would receive early feedback of their course grades (by postcard and/or posting the grades online) if at least two-thirds of the class completed online evaluations.

The treatment each professor received in the online evaluation was determined by a randomized incomplete block design. In this design, instructors represent the blocks and method of evaluation is the factor of interest. Method of evaluation is at five levels: three online treatments, an online control group that received no treatment and an in-class evaluation. Table 1 displays how the 16 instructors were assigned to the online evaluations: four instructors were assigned the 'grade' incentive, two received the 'early grade feedback' incentive, two were placed in the 'demo' treatment and the remaining eight instructors were evaluated online without any treatment (the control group).

Standardizing procedures

To control the conditions under which the experiment was conducted, instructors were requested to read a prepared statement explaining the online procedure for the particular treatment assigned to the instructor's section. Instructors who gave a 'grade' incentive informed their students that the grade incentive would be one-quarter of one percent for any student who had completed the online evaluation. Instructors that provided the 'early grade feedback' incentive told their students that at least two-thirds of the class would have to complete the online evaluations for the class to receive early feedback of their grades. Instructors who administered the 'demo' treatment provided a live, in-class demonstration of how to log on to the online system, how to fill out the evaluation form and how to log off. Students in the other online conditions were given written instructions on how to log on and complete the online evaluations but were not given a live demonstration.

Each student doing an online evaluation had to log on to the system using a unique student ID number and a personal password. The online system prevented students from doing more than one evaluation of their instructor and students could evaluate only classes in which they were enrolled.

All students who were asked to evaluate their professor online, independent of their treatment or control group assignment, were informed that they would have to complete the online evaluations on their own time. All students, regardless of whether they were asked to evaluate their professor online or in-class, were assured that their instructors would not have access to individual student evaluations but would be given summary reports only after the course grades were filed. The instructors were asked not to provide any additional information except for comments that pertained to the online treatment to which they were assigned.

Table 1. Assignment of online treatments to instructors

Online treatment	Courses by area								Total
	Accounting/mis	Business law	Economics	Finance	Management	Marketing	Management science		
Grade incentive	1		2		1				4
Grade feedback incentive	1				1				2
Demo					1	1			2
None (control group)	2	1	1	1	1		2		8
Total instructors	4	1	3	1	4	1	2	2	16

Other design considerations

A potential source of nuisance variation is differences between the characteristics of students in different sections of the same course. To minimize the potential for this source of variation, the 16 instructors who were selected for this study were ones, for the most part, who taught 'back-to-back' sections of the same course. In a 'back-to-back' teaching format it is unlikely that students in the first section of an instructor's course are markedly different from students in the second section of the same course.

Nuisance variation can also occur due to the order in which a section is taught, i.e. instructors, because of learning effects, might consistently perform better in the second section of a 'back-to-back' assignment and, consequently, might receive better evaluations in that section. To minimize the 'section order' effect, experimental conditions were randomly assigned to the various sections within the incomplete block design.

Research questions

One variable of interest is the evaluation response rate. For any given section of a course, the response rate is defined as the ratio of the number of students who completed an evaluation to the number of students who received a grade. When analyzing the response rates, we plan to address the following questions.

- (1) What is the overall response rate when conducting evaluations in the traditional, in-class manner?
- (2) What is the overall online response rate?
- (3) Do the online treatments affect the online response rates?
- (4) Are there significant differences between the online and corresponding in-class response rates?

Additionally, the mean scores of each evaluation form item are of interest. In particular, we attempt to answer the following questions.

- (1) Does the method of evaluation (in-class versus online) affect an instructor's mean teaching evaluation scores?
- (2) Does the treatment given to students to complete their online evaluations affect the mean evaluation scores?

The response rates

Table 2 indicates each instructor's online treatment, online response rate, in-class response rate and class size. Since class size is defined as the number of students who received a grade in the course, it represents the maximum number of students in a class who could participate in a faculty evaluation. The 'Difference' column is the difference between the online and in-class response rates. To determine the statistical significance of the difference between the online and in-class response rates, a test of the difference between two proportions was applied to the in-class and

Table 2. Response rates by method of evaluation and online treatment

Instructor	Treatment	Online		In-class		Difference	Significance level
		Class size	Response rate	Class size	Response rate		
A	Grade	36	0.889	26	0.846	0.043	NS
B	Grade	40	0.825	38	0.842	-0.017	NS
C	Grade	31	0.839	33	0.909	-0.070	NS
D	Grade	28	0.929	26	0.885	0.044	NS
E	Demo	36	0.556	37	0.703	-0.147	NS
F	Demo	24	0.500	26	0.885	-0.385	<0.01
G	Feedback	20	0.550	27	0.815	-0.265	<0.05
H	Feedback	21	0.476	26	0.923	-0.447	<0.001
I	None	35	0.486	32	0.750	-0.264	<0.05
J	None	40	0.450	42	0.619	-0.169	NS
K	None	41	0.317	37	0.568	-0.250	<0.05
L	None	145	0.345	146	0.856	-0.511	<0.001
M	None	35	0.200	37	0.838	-0.638	<0.001
N	None	28	0.250	34	0.794	-0.544	<0.001
O	None	39	0.179	38	0.711	-0.531	<0.001
P	None	92	0.120	71	0.338	-0.218	<0.001
Total		691	0.434	676	0.750	-0.316	<0.001

NS, not significant.

online response rates of the two sections taught by each instructor. The significance levels of the test are given in the last column.

The method effect

To determine how the method of evaluation (online versus in-class) affected the response rate to the evaluations, one should focus in Table 2 on the results for instructors I–P, the eight instructors who did not apply a treatment when the online evaluations were conducted. All but one of these instructors received a significantly lower response rate to the evaluations when the data were collected online. The average response rate for these eight instructors combined was 29% for the online survey and 70% for the in-class survey ($P < 0.001$). These results are consistent with the Layne *et al.* (1999) study and clearly demonstrate that online evaluations conducted without treatments are likely to experience response rates that are below those of in-class surveys.

The method/treatment effect

When a treatment was applied to the online method, the treatment did not always produce a response rate that compared favorably with the response rate of the in-class method. When the 'early grade feedback' incentive was applied to the online method, the response rates to that treatment were significantly lower than the

Table 3. Response rates by online treatment

Online treatment	Class size	Response rate
Grade	135	0.867
Demo	60	0.533
Feedback	41	0.512
None	455	0.286
Grand total	691	0.434

in-class method. Mixed results were obtained when the ‘demo’ treatment was applied to the online method: in one case it obtained a response rate lower than the in-class method, while in another case there was no difference in response rates. Only in the case of the ‘grade’ incentive was the online method able to achieve response rates comparable with those of the in-class method.

In the four sections that gave grade incentives, the overall online response rate was 86.67%. How does this response rate compare with the overall response rate in the corresponding sections where the same instructors were evaluated in-class? It is virtually identical at 86.99%. Thus, if one wishes to achieve online response rates that are similar to in-class response rates, a very mild grade incentive should be offered.

The online treatment effect

The overall response rate for each of the online treatments is displayed in Table 3. One-way ANOVA reveals that there are significant differences in response rates among the online treatments ($F_{3,687} = 1250$, $P < 0.0001$).

To determine which treatment groups had response rates that were significantly different from each other, Bonferroni multiple comparison tests were conducted (see Table 4). The response rate for the ‘grade’ incentive is significantly higher than the response rate for the other two treatments and the control group. The response rate for the ‘demo’ treatment is significantly higher than the response rate for the control group, but it is not significantly different from the response rate for the ‘early grade feedback’ incentive. Finally, the response rate for the control group is significantly

Table 4. Bonferroni tests on response rates by online treatment

Online treatment	Class size	Response rate	Comparison treatment	Class size	Response rate	Difference	Significance level
Grade	135	0.867	Demo	60	0.533	0.334	< 0.001
	135	0.867	Feedback	41	0.512	0.355	< 0.001
	135	0.867	None	455	0.286	0.581	< 0.001
Demo	60	0.533	Feedback	41	0.512	0.021	NS
	60	0.533	None	455	0.286	0.247	< 0.001
None	455	0.286	Feedback	41	0.512	- 0.226	< 0.01

lower than the response rate for the 'early grade feedback' incentive. It is clear from these results that if one wants to achieve the highest response rate with the online method, one should use a grade incentive.

Mean item scores

Since there are multiple questions on each instructor's evaluation form, there is more than one response variable for each instructor. Consequently, to test the hypothesis that the method of evaluation (in-class versus online) has no effect on the mean evaluation scores, a one-way multivariate analysis of variance (MANOVA) was conducted on each instructor separately.

No significant multivariate F values were obtained for the eight instructors who applied an online treatment, indicating that there were no significant differences between their online and in-class evaluations. Among the eight instructors who were evaluated online without a treatment, only one showed any significant difference between the online and in-class evaluations. This latter result could easily be a statistical anomaly due to chance. Therefore, the null hypothesis that the mean online and mean in-class evaluation scores are equal is not rejected. This finding suggests that online evaluations of teaching performance do not produce significantly different mean scores than traditional, in-class evaluations, even when different online treatments are used.

Discussion and conclusions

The online method of collecting teaching evaluations offers numerous advantages over the in-class method of evaluation: it is cheaper to administer, requires less class time, permits the processing of data quickly, is less vulnerable to professorial influence, allows students as much time as they wish to evaluate faculty and allows students multiple opportunities to evaluate faculty. Though there are costs associated with managing the online web site, such as downloading the responses and preparing summary reports, these costs are far less than the material and labor costs associated with in-class evaluations. And while students may find it somewhat inconvenient to evaluate faculty online, they should have little difficulty understanding the online procedures, since students, by now, are quite comfortable with the Internet and web sites.

This study and its predecessors demonstrate that gathering teaching evaluations online is a viable alternative to the traditional, in-class method. There is no evidence, in this study or in previous ones, that the online method produces biased evaluations. In fact, prior research has demonstrated that online surveys may produce a higher quality and greater quantity of response to open-ended questions, for online respondents tend to provide more information in their free-form responses and are not worried that someone might identify their handwriting since their responses are typed (Ha & Marsh, 1998; Layne *et al.*, 1999; Hmieleski & Champagne, 2000). The only serious problem posed by the online method is a potentially low response rate. This study, however, illustrated that a mild grade incentive, i.e. one-quarter of 1%,

can be used to achieve a response rate that is comparable with that of the in-class survey.

Not all professors will be willing to use a grade incentive as an online response motivator. Some might argue that the grade incentive could bias the survey results in favor of students who are more concerned about their grades. However, this study found that there was no bias in the evaluations when the grade incentive was used. Others might argue that it is unethical to use a grade incentive, for a student's participation in a faculty evaluation should be a voluntary event that has no bearing on the student's grade. For faculty who feel this way, other response motivators should be considered.

It appears that giving reminder messages to students is an effective means of enhancing the response rate to an online faculty evaluation. The literature offers several examples of online faculty evaluations that have achieved high response rates, and every one of these examples described the use of one or more reminder messages (Ha & Marsh, 1998; Ha *et al.*, 1998; Ku, 2002/2003). In a web site survey of students on affirmative action, Crawford *et al.* (2001) compared the effect of sending two reminder messages, sent 2 and 4 days after the initial mailing, to a single reminder message sent 5 days after the initial mailing. The rate and speed of response were mildly higher for the condition that used two reminders. Should professors wish to use reminder messages to enhance the response to an online faculty evaluation, they can easily make reminder announcements in class and/or they can have the survey web site programmed to automatically Email reminder notices to survey non-respondents on a regular basis.

Previous research has demonstrated that response to a web site survey can be enhanced with the use of a sweepstakes approach (Bosnjak & Tuten, 2003; Cobanoglu & Cobanoglu, 2003). At this point, it is not clear what type of prize would be motivating to students yet not be prohibitively expensive for a professor to offer. Perhaps a discount coupon from the campus bookstore or a gift certificate for a pizza would be a large enough incentive to entice the majority of students into responding.

When students log on to a web site to conduct an online evaluation, they should be required to use an access code. The access code ensures that the response is coming from a student in the class and it prevents students from evaluating the class more than once. In many cases, a student's access code is the student's ID number. When students use their ID number to log on to the survey web site, professors and survey administrators must assure students that their identity will never be tied to their online evaluation. Even though students are told that their name or identifying number will never be associated with their response (and this promise is upheld), they may doubt that the online system can protect their identity. It may be necessary to develop strategies that increase the student's perceptions that response to the survey is truly anonymous. One strategy that might work is to develop a set of access codes for the web site survey. In the classroom, the professor could randomly distribute the access codes to his/her students and then explain that it is impossible for the student's access code to be tied to a particular student. Students could then feel confident that their response to the online survey would be anonymous. Should students be required to verify that they have completed the evaluation, the online

system can be programmed to generate a 'proof of completion' certificate for the evaluator.

Gathering evaluations of faculty through a web site survey is a relatively new technique and many aspects of this survey method still need to be researched and fine tuned. For example, when should the online faculty evaluation begin and how much time should students be given to complete the evaluation? Most of the online evaluations in the literature started a few weeks before the final exam. However, since student evaluations of faculty are fairly stable from mid term to the end of term (Costin, 1968; Feldman, 1979), it is conceivable that the online evaluations could start following mid term. Also, when students respond online, should they be allowed only one attempt at the evaluation or should they be allowed multiple visits to the same questionnaire so that they can either complete the survey or change their previous answers? There is also the question of whether students should be allowed to view the latest aggregate of the survey responses following their individual responses. The web site could be programmed to provide these data to the students as a response motivator, but supplying such results could be an invasion of the professor's privacy. A final question is whether a progress indicator should be used on the survey web site. A progress indicator informs the respondent of the degree to which the survey has been completed. Previous research on progress indicators has produced mixed results. Crawford *et al.* (2001) found that a progress indicator dampened the response rate to a web site survey that contained both structured and open-ended items. However, the researchers provided anecdotal information that supported the use of a progress indicator when the survey contained only close-ended items. Clearly, answers are needed to the above questions so that survey administrators will know the optimal strategy to use when conducting an online evaluation of faculty.

The results of the present study are limited to several departments in a large college of business. Future researchers of the online method might benefit by conducting their research within other departments and campuses.

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